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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Minoru YAMADA et al.

Application No.: 09/812,997

Filed: March 21, 2001

Docket No.: 109016

For: NEAR-FIELD OPTICAL HEAD

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

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Technology Center 2800

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. English-language Abstracts of the non-English language references are attached hereto.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/al

Date: September 17, 2002

OLIFF & BERRIDGE, PLC .
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

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